

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – CORPORATE CG
BALANCE SHEET AS AT SEPTEMBER 30,2017

	Schedules	As at Sept 30, 2017	As at Sept 30, 2016
Liabilities			
Unit Capital	2	64,52,77,40,548	49,99,50,13,947
Reserves and Surplus	3	41,96,64,81,930	26,36,67,90,882
Current Liabilities and Provisions	4	44,31,40,507	19,58,28,396
Total		<u>1,06,93,73,62,984</u>	<u>76,55,76,33,225</u>
Assets			
Investments	5	95,99,81,86,460	74,57,57,45,464
Appreciation in Investment		6,40,98,79,752	
Deposits	6	-	10,00,00,000
Other Current Assets	7	4,52,92,96,771	1,88,18,87,761
Total		<u>1,06,93,73,62,984</u>	<u>76,55,76,33,225</u>
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NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – CORPORATE CG
REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017

	Schedules	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Income			
Dividend		8,96,98,187	5,84,17,719
Interest	8	3,27,81,93,564	2,37,08,94,161
Profit on sale/redemption of investments	9	5,39,84,331	20,39,73,640
Profit on inter-scheme transfer/sale of investments	10	-	-
Unrealized gain on appreciation in investments		1,42,40,19,245.00	4,05,62,85,480
Other income			
- Miscellaneous Income		-	-
Total		4,84,58,95,328	6,68,95,71,000
Expenses and Losses			
Unrealized losses in value of investments		-	
Loss on sale/redemption of investments	11	22,16,670	57,18,296
Loss on inter-scheme transfer/sale of investments		-	-
Management fees (including service Tax)		49,24,840.09	33,68,594
NPS Trust fees		49,24,840.09	33,67,685
Custodian fees		25,15,823.74	29,07,809
CRA Fees			
Less: Amount recoverable on sale of units on account of CRA Charges			
Trustee Bank's fees		-	-
Depository and settlement charges		-	-
Other Expenses, if any		-	-
Total		1,45,82,174	1,53,62,384
Surplus/(Deficit) for the year		4,83,13,13,154	6,67,42,08,616
Net Surplus brought forward from previous year		17,25,36,07,985.25	8,37,00,57,449
Less: Adjustment for Previous years unrealised appreciation reserve			-
Add: Net Change in Unrealised Depreciation Reserve during the year			-
Amount carried forward to Balance Sheet		22,08,49,21,139	15,04,42,66,065

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017

Schedule 2	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Unit Capital		
Initial Capital*	67,95,10,950	67,95,10,950
Outstanding at the beginning of the year	56,58,81,31,237	44,30,89,13,253
Add :Units issued during the year	8,07,44,52,321	8,14,92,08,666
Less: Units redeemed during the year	13,48,43,010	2,46,31,07,972
Outstanding at the end of the year	<u>64,52,77,40,548</u>	<u>49,99,50,13,947</u>
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	5,65,88,13,123.70	4,43,08,91,325.34
Add :Units issued during the year	80,74,45,232.10	81,49,20,866.56
Less: Units redeemed during the year	1,34,84,301.03	24,63,10,797.18
Outstanding Units at the end of the year	<u>6,45,27,74,054.77</u>	<u>4,99,95,01,394.72</u>
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	14,96,31,89,234	8,65,83,70,483
Add: Premium on Units issued	5,00,19,54,346	3,65,91,66,982
Less: Premium on Units redeemed	8,35,82,790	99,50,12,648
Closing Balance	<u>19,88,15,60,791</u>	<u>11,32,25,24,817</u>
Appropriation Account		
Surplus/(Deficit) carried forward	22,08,49,21,139	15,04,42,66,065
Closing Balance	<u>22,08,49,21,139</u>	<u>15,04,42,66,065</u>
Unrealised Appreciation Account		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
Total	<u><u>41,96,64,81,930</u></u>	<u><u>26,36,67,90,882</u></u>

Schedule 4	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses	21,83,09,088	18,11,34,380
Book Overdraft	21,16,52,330	-
Redemption Payable	26,66,585	53,28,132
Contract for Purchase of Investments		-
NPS Charges Payable	25,99,012	18,07,423
Management Fee Payable	28,94,508	20,79,102
Custodial Charges Payable	50,18,983	54,79,359
Amount Payable to Other Schemes		-
	44,31,40,507	19,58,28,396

Schedule 5	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Investments (Long Term and Short Term)		
Equity Shares	9,30,10,66,296	7,23,85,00,445
Debentures and Bonds Listed/Awaiting Listing	36,60,78,02,596	31,06,24,68,619
Central and State Government Securities (including treasury bills)	50,08,93,17,569	36,27,47,76,400
Commercial Paper		-
Others - Mutual Fund Units		-
- Certificates of Deposit		-
Total	95,99,81,86,460	74,57,57,45,464

Schedule 6	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Deposits		
Deposits with Scheduled Banks		10,00,00,000
	-	10,00,00,000

Schedule 7	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Other Current Assets		
Balances with bank in a current account		8,90,70,115
Contracts for sale of investments	2,28,16,09,088	20,17,13,911
Outstanding and accrued income	2,24,18,80,961	1,58,91,32,461
Dividend Receivable	58,06,722	19,69,720
Brokerage receivable from PFM		1,554
	4,52,92,96,771	1,88,18,87,761

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017

Schedule 8	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
INTEREST INCOME		
Interest on Bonds / Debentures / Govt. Securities	3,27,81,93,564	2,37,08,94,161
	3,27,81,93,564	2,37,08,94,161
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Schedule 9	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
REALISED GAINS ON SALE OF INVESTMENTS		
Profit on Sale of Equity Shares	1,19,84,386	6,64,53,721
Profit on Sale of Corp Bonds	30,11,080	-
Profit on Sale of G. sec	6,91,991	12,22,60,500
Profit on Sale of Mutual Fund Scheme Units	3,82,96,874	1,52,59,419
	5,39,84,331	20,39,73,640
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Schedule 10	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
REALISED GAINS ON SALE OF INVESTMENTS - INTER SCHEME		
Profit on Sale of G sec	-	-
	-	-
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Schedule 11	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
REALISED LOSSES ON SALE OF INVESTMENTS		
Loss on sale of Cert. of Deposits & Comm. Papers		-
Loss on Sale of Equity Shares		56,88,709
Loss on Sale of Corp Bonds	21,16,000	29,587
Loss on Sale of G sec	1,00,670	-
	22,16,670	57,18,296