

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA
BALANCE SHEET AS AT SEPTEMBER 30, 2017

	Schedules	As at Sept 30, 2017	As at Sept 30, 2016
Liabilities			
Unit Capital	2	7,64,29,54,785	3,43,00,66,876
Reserves and Surplus	3	1,89,04,52,128	51,23,14,479
Current Liabilities and Provisions	4	5,78,54,286	21,93,609
Total		<u>9,59,12,61,199</u>	<u>3,94,45,74,964</u>
Assets			
Investments	5	8,72,03,55,900	3,80,07,82,582
Appreciation in Investments		26,33,99,417	
Deposits	6	25,00,000	25,00,000
Other Current Assets	7	60,50,05,881	14,12,92,382
Total		<u>9,59,12,61,199</u>	<u>3,94,45,74,964</u>
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NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA
REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017

	Schedules	Half Year Ended Sept 30, 2017	Half Year Ended Sept 30, 2016
Income			
Dividend		69,02,914.60	21,71,597
Interest	8	26,23,51,384	9,63,38,923
Profit on sale/redemption of investments	9	73,10,128	72,43,428
Profit on inter-scheme transfer/sale of investments		-	-
Unrealized gain on appreciation in investments		9,90,45,811.55	17,02,22,607
Other income			
- Miscellaneous Income		-	-
Total		37,56,10,238	27,59,76,555
Expenses and Losses			
Unrealized losses in value of investments		-	-
Loss on sale/redemption of investments	10	-	-
Loss on inter-scheme transfer/sale of investments		-	-
Management fees (including service Tax)		4,11,226.14	1,42,802
NPS Trust fees		4,03,162.90	1,39,998
Custodian fees		2,19,027.17	1,18,918
CRA Fees		-	-
Less: Amount recoverable on sale of units on account of CRA Charges		-	-
Trustee Bank's fees		-	-
Depository and settlement charges		-	-
Other Expenses, if any		-	-
Total		10,33,416	4,01,718
Surplus/(Deficit) for the year		37,45,76,822	27,55,74,837
Net Surplus brought forward from previous year		48,71,40,744.68	5,61,45,536
Less: Adjustment for Previous years unrealised appreciation reserve		-	-
Less: Net Change in Unrealised Appreciation Reserve during the year		-	-
Amount carried forward to Balance Sheet		86,17,17,566	33,17,20,373

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017

Schedule 2	As at Sep 30, 2017	As at Sep 30, 2016
Unit Capital		
Initial Capital*	3,073	3,073
Outstanding at the beginning of the year	5,40,44,19,754	1,65,41,40,392
Add :Units issued during the year	2,31,81,56,021	1,80,55,08,912
Less: Units redeemed during the year	7,96,20,990	2,95,82,428
Outstanding at the end of the year	<u>7,64,29,54,785</u>	<u>3,43,00,66,876</u>
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	54,04,41,975.41	16,54,14,039.16
Add :Units issued during the year	23,18,15,602.11	18,05,50,891.18
Less: Units redeemed during the year	79,62,099.03	29,58,242.75
Outstanding Units at the end of the year	<u>76,42,95,478.49</u>	<u>34,30,06,687.59</u>
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	As at Sep 30, 2017	As at Sep 30, 2016
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	52,71,34,601	1,61,80,190
Add: Premium on Units issued	51,92,70,054	16,67,23,873
Less: Premium on Units redeemed	1,76,70,093	23,09,956
Closing Balance	<u>1,02,87,34,562</u>	<u>18,05,94,106</u>
Appropriation Account		
Surplus/(Deficit) carried forward	86,17,17,566	33,17,20,373
Closing Balance	<u>86,17,17,566</u>	<u>33,17,20,373</u>
Unrealised Appreciation Account		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Add: Transferred from Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
Total	<u>1,89,04,52,128</u>	<u>51,23,14,479</u>

Schedule 4	As at Sep 30, 2017	As at Sep 30, 2016
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses	2,79,86,063	
Book Overdraft	2,65,01,965	-
Redemption Payable	25,53,921	77,251
Contract for Purchase of Investments		17,93,794
NPS Charges Payable	2,23,510	84,528
Management Fee Payable	2,54,063	99,153
Custodial Charges Payable	3,34,764	1,38,883
Amount Payable to Other Schemes		-
	5,78,54,286	21,93,609

Schedule 5	As at Sep 30, 2017	As at Sep 30, 2016
Investments (Long Term and Short Term)		
Equity Shares	92,13,55,533	33,75,86,782
Debentures and Bonds Listed/Awaiting Listing	3,25,13,71,740	1,61,47,32,208
Central and State Government Securities (including treasury bills)	4,54,76,28,628	1,84,84,63,592
Commercial Paper		-
Others - Mutual Fund Units		-
- Certificates of Deposit		-
Total	8,72,03,55,900	3,80,07,82,582

Schedule 6	As at Sep 30, 2017	As at Sep 30, 2016
Deposits		
Deposits with Scheduled Banks	25,00,000	25,00,000
	25,00,000	25,00,000

Schedule 7	As at Sep 30, 2017	As at Sep 30, 2016
Other Current Assets		
Balances with bank in a current account		1,89,203
Contracts for sale of investments	41,90,86,063	6,80,83,292
Outstanding and accrued income	18,33,29,675	7,29,36,981
Dividend Receivable	4,57,210	82,703
Brokerage receivable from PFM	533	203
Sundry Debtors	21,32,400	
	60,50,05,881	14,12,92,382

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – ATAL PENSION YOJNA
NOTES ANNEXED TO AND FORMING PART OF PROFIT AND LOSS ACCOUNT FOR THE HALF YEAR ENDED
SEPTEMBER 30, 2017

Schedule 8	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
INTEREST INCOME		
Interest Income - Bonds / Government Securities / Debentures	26,23,51,384	9,63,38,923
	26,23,51,384	9,63,38,923
Schedule 9		
	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
REALISED GAINS ON SALE / REDEMPTION OF INVESTMENTS		
Profit on Sale of Equity Shares	13,58,904	4,31,367
Profit on Sale of Corporate Bonds	3,95,250	-
Profit on Sale of Government Securities	97,200	47,29,200
Profit on Sale of Comm. Paper / Comm. Deposits	-	-
Profit on Sale of Mutual Fund Scheme units	54,58,774	20,82,861
	73,10,128	72,43,428
Schedule 10		
	For the Half year ended September 30, 2017	For the Half year ended September 30, 2016
REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS		
Loss on Sale of Equity Shares	-	-
Loss on Sale of Corporate Bonds	-	-
Loss on Sale of Mutual Fund	-	-
Loss on Sale of Government Securities	-	-
	-	-