

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I
BALANCE SHEET AS AT SEPTEMBER 30,2017

	Schedules	As at Sept 30, 2017	As at Sept 30, 2016
Liabilities			
Unit Capital	2	5,70,51,07,002	3,76,88,66,722
Reserves and Surplus	3	6,76,13,31,669	3,53,43,42,986
Current Liabilities and Provisions	4	1,37,27,683	2,62,16,796
Total		12,48,01,66,354	7,32,94,26,504
Assets			
Investments	5	9,55,12,83,973	7,09,82,82,822
Appreciation of Investment (Net)		2,46,35,01,642	
Deposits		-	
Other Current Assets	6	46,53,80,739	23,11,43,682
Total		12,48,01,66,354	7,32,94,26,504
		-	-

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I
REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017

	Schedules	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Income			
Dividend		9,23,81,924	3,81,35,367
Interest	7	0	2
Profit on sale/redemption of investments	8	1,99,52,276	3,21,12,570
Profit on inter-scheme transfer/sale of investments		-	-
Unrealized gain on appreciation in investments		54,71,72,163	33,30,401
Other income			
- Miscellaneous Income		-	-
Total		65,95,06,364	7,35,78,341
Expenses and Losses			
Unrealized losses in value of investments		-	27,20,14,446
Loss on sale/redemption of investments	9	-	-
Loss on inter-scheme transfer/sale of investments		-	-
Management fees (including service Tax)		5,81,464	2,38,871
NPS Trust fees		5,81,464	-
Custodian fees (including service Tax)		2,08,166	1,59,228
Other Expenses, if any		-	-
Total		13,71,094	27,24,12,545
Surplus/(Deficit) for the year		65,81,35,269	(19,88,34,205)
Net Surplus brought forward from previous year		2,27,93,93,493	1,08,99,13,369
Less: Adjustment for Previous years unrealised appreciation reserve			
Add: Net Change in Unrealised depreciation Reserve during the year			
Amount carried forward to Balance Sheet		2,93,75,28,762	89,10,79,164

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I
NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017

Schedule 2	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Unit Capital		
Initial Capital*	2,500	2,500
Outstanding at the beginning of the year	4,99,01,73,987	3,19,75,50,794
Add :Units issued during the year	1,01,23,22,560	66,60,89,085
Less: Units redeemed during the year	29,73,89,545	9,47,73,157
Outstanding at the end of the year	<u>5,70,51,07,002</u>	<u>3,76,88,66,722</u>
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	49,90,17,399	31,97,55,079.39
Add :Units issued during the year	10,12,32,256	6,66,08,908.46
Less: Units redeemed during the year	2,97,38,955	94,77,315.69
Outstanding Units at the end of the year	<u>57,05,10,700</u>	<u>37,68,86,672.16</u>
* Initial Capital represent capital on the date of commencement of scheme		
Schedule 3	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	2,99,36,01,812	1,36,75,45,546
Add: Premium on Units issued	1,17,52,51,672	55,22,86,528
Less: Premium on Units redeemed	34,50,50,577	8,04,06,795
Closing Balance	<u>3,82,38,02,907</u>	<u>1,83,94,25,279</u>
Appropriation Account		
Surplus/(Deficit) carried forward	2,93,75,28,762	1,69,49,17,707
Closing Balance	<u>2,93,75,28,762</u>	<u>1,69,49,17,707</u>
Unrealised Appreciation Account		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	-	-

Closing Balance

Total

-	-
6,76,13,31,669	3,53,43,42,986

Schedule 4	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Current Liabilities and Provisions		
Current Liabilities		
Sundry Creditors for expenses	34,76,673	-
Redemption Payable	88,64,974	22,90,816
Contract for Purchase of Investments		2,30,41,957
NPS Charges Payable	3,07,664	1,76,787
Management Fee Payable	3,42,658	2,03,344
Custodial Charges Payable	7,35,713	5,03,892
	<u>1,37,27,683</u>	<u>2,62,16,796</u>

Schedule 5	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
Investments (Long Term and Short Term)		
Equity Shares	9,55,12,83,973	7,09,82,82,822
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
Total	<u>9,55,12,83,973</u>	<u>7,09,82,82,822</u>

Schedule 6	Year ended Sept 30, 2017	Year ended Sept 30, 2016
Other Current Assets		
Balances with bank in a current account	31,11,275	6,38,292
Contracts for sale of investments	45,62,76,673	22,83,79,353
Dividend Receivable	59,92,791	21,25,197
Brokerage receivable from PFM	-	840
	-	-
	<u>46,53,80,739</u>	<u>23,11,43,682</u>

NATIONAL PENSION SYSTEM TRUST
NPS TRUST - A/C SBI PENSION FUND SCHEME – E- TIER I
NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017

Schedule 7	For the half year ended September 30, 2017	For the half year ended September 30, 2016
INTEREST INCOME		
Other Interest		
Bank Interest	0	2
	<u>0</u>	<u>2</u>

Schedule 8	For the half year ended September 30, 2017	For the half year ended September 30, 2016
REALISED GAINS ON SALE OF INVESTMENTS		
Profit on Sale of Equity Shares	35,12,668	2,64,66,098
Profit on Sale of Corp Bonds		-
Profit on Sale of Mutual Fund Scheme Units	1,64,39,609	56,46,472
	<u>1,99,52,276</u>	<u>3,21,12,570</u>

Schedule 9	For the half year ended September 30, 2017	For the half year ended September 30, 2016
REALISED LOSSES ON SALE OF INVESTMENTS		
Loss on Sale of Equity Shares	-	-
	<u>-</u>	<u>-</u>