

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – G TIER I**  
**BALANCE SHEET AS AT SEPTEMBER 30,2016**

	Schedules	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Liabilities</b>			
Unit Capital	2	4,626,792,510	3,343,866,514
Reserves and Surplus	3	5,224,011,506	2,874,690,723
Current Liabilities and Provisions	4	4,755,734	5,618,790
<b>Total</b>		<b>9,855,559,750</b>	<b>6,224,176,027</b>
<b>Assets</b>			
Investments	5	9,694,428,856	6,104,578,236
Deposits		-	-
Other Current Assets	6	161,130,894	119,597,791
<b>Total</b>		<b>9,855,559,750</b>	<b>6,224,176,027</b>

**NATIONAL PENSION SYSTEM TRUST**  
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**REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016**

Particulars	Schedules	Half Year ended Sept 30, 2016 ₹	Half Year ended Sept 30, 2015 ₹
<b>Income</b>			
Dividend		-	-
Interest	7	332,846,588	231,490,233
Profit on sale/redemption of investments	8	8,611,766	7,130,959
Profit on inter-scheme transfer/sale of investments	9	-	-
Unrealized gain on appreciation in investments		592,699,431	
Other income			
- Miscellaneous Income		-	-
<b>Total</b>		<b>934,157,785</b>	<b>238,621,192</b>
<b>Expenses and Losses</b>			
Unrealized losses in value of investments			-
Loss on sale/redemption of investments	10	-	181,170
Loss on inter-scheme transfer/sale of investments	11	-	-
Management fees (including service Tax)		439,521	350,776
NPS Trust fees		439,490	
Custodian fees (including service Tax)		375,054	225,074
Other Expenses, if any			
<b>Total</b>		<b>1,254,065</b>	<b>757,020</b>
<b>Surplus/(Deficit) for the year</b>		<b>932,903,720</b>	<b>237,864,172</b>
Net Surplus brought forward from previous year		1,466,265,649	1,005,308,035
Less: Adjustment for Previous years unrealised appreciation reserve			-
Add: Net Change in Unrealised Depreciation Reserve during the year			-
<b>Net Surplus / ( Deficit ) carried forward</b>		<b>2,399,169,369</b>	<b>1,243,172,208</b>

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**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016**

Schedule 2	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Unit Capital</b>		
Initial Capital*	1,500	1,500
Outstanding at the beginning of the year	4,034,425,240	3,019,226,798
Add :Units issued during the year	708,567,632	422,051,011
Less: Units redeemed during the year	116,200,362	97,411,296
Outstanding at the end of the year	4,626,792,510	3,343,866,514
<b>(Face Value of Rs.10/- each unit, fully paid up)</b>		
Outstanding units at the beginning of the year	403,442,524.00	301,922,679.82
Add :Units issued during the year	70,856,763.23	42,205,101.14
Less: Units redeemed during the year	11,620,036.24	9,741,129.58
Outstanding Units at the end of the year	462,679,250.99	334,386,651.38
<b>* Initial Capital represent capital on the date of commencement of scheme</b>		
Schedule 3	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Reserves and Surplus</b>		
<b>Unit Premium Reserve</b>		
Opening Balance	2,230,619,848	1,374,583,333
Add: Premium on Units issued	710,794,109	334,536,984
Less: Premium on Units redeemed	116,571,819	77,601,802
Closing Balance	<u>2,824,842,137</u>	<u>1,631,518,515</u>
<b>Appropriation Account</b>		
Surplus/(Deficit) carried forward	2,399,169,369	1,243,172,208
Closing Balance	<u>2,399,169,369</u>	<u>1,243,172,208</u>
<b>Unrealised Appreciation Account</b>		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
<b>Total</b>	<u><u>5,224,011,506</u></u>	<u><u>2,874,690,723</u></u>

Schedule 4	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Current Liabilities and Provisions</b>		
<b>Current Liabilities</b>		
Sundry Creditors for expenses	-	0
Book Overdraft	-	-
Redemption Payable	3,555,640	1,663,931
TDS Payable	-	-
Contract for Purchase of Investments	-	3,559,856
NPS Charges Payable	234,575	-
Management Fee Payable	269,847	169,254
Custodial Charges Payable	695,672	225,750
Amount Payable to Other Schemes	-	-
	<u>4,755,734</u>	<u>5,618,790</u>

Schedule 5	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Investments (Long Term and Short Term)</b>		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	9,694,428,856	6,104,578,236
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
<b>Total</b>	<u>9,694,428,856</u>	<u>6,104,578,236</u>

Schedule 5	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Deposits</b>		
Deposits with Scheduled Banks	-	-
	<u>-</u>	<u>-</u>

Schedule 6	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Other Current Assets</b>		
Balances with bank in a current account	243,868	2,731,901
Contracts for sale of investments	21,900,000	3,559,856
Outstanding and accrued income	138,987,026	113,306,034
Dividend Receivable	-	-
Brokerage receivable from PFM	-	-
Application money pending allotment	-	-
Receivable from other scheme	-	-
Others Mutual Fund - Pending for Unit Allocation)		
	<b><u>161,130,894</u></b>	<b><u>119,597,791</u></b>

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – G TIER I**  
**Notes annexed to and forming part of the Financial Statements**

Schedule 7	For the year ended September 30, 2016 ₹	For the year ended September 30, 2015 ₹
<b>INTEREST INCOME</b>		
Bank Interest	6	78
Interest Income - Government Securities	332,846,582	231,490,156
	<b>332,846,588</b>	<b>231,490,233</b>
<b>Schedule 8</b>		
	For the year ended September 30, 2016 ₹	For the year ended September 30, 2015 ₹
<b>REALISED GAINS ON SALE OF INVESTMENTS</b>		
Profit on Sale of Government Securities	6,897,215	6,307,117
Profit on Sale of Mutual Fund Scheme Units	1,714,551	823,842
	<b>8,611,766</b>	<b>7,130,959</b>
<b>Schedule 9</b>		
	For the half year ended September 30, 2016 ₹	For the half year ended September 30, 2015 ₹
<b>REALISED GAINS ON SALE OF INVESTMENTS - Inter Scheme</b>		
Profit on Sale of Government Securities	-	-
	-	-

Schedule 10	For the half year ended September 30, ₹	For the half year ended September 30, ₹
<b>REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS</b>		
Loss on Sale of Government Securities	-	181,170
	-	<b>181,170</b>

Schedule 11	For the half year ended September 30, 2016 ₹	For the half year ended September 30, 2015 ₹
<b>REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS (Inter Scheme)</b>		
Loss on Sale of Government Securities	-	-
	-	-

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST A/c - SBI PENSION FUND - SCHEME G - Tier I**  
**Notes annexed to and forming part of the Financial Statements (Refer Schedule 5)**

**Govt. Securities**

Sr. No.	Security Description	As on 30.09.2016		
		Face Value (Rs.)	Mkt. Value (Rs.)	% to Asset Class
1	10.18% Goi 2026 11.09.2026	290000	348,831	0.00%
2	6.90% Oil Bond 2026	870000	854,019	0.01%
3	7.95% Goi 2032	18500000	19,922,225	0.21%
4	7.00 % Fert Bond 2022 10/12/2022	650000	644,043	0.01%
5	7.16% Govt Securities 2023 20/05/2023	5000000	5,050,000	0.05%
6	7.28% Gsec 2019 03.06.2019	4000000	4,055,200	0.04%
7	7.59% Goi 2026 11.01.2026	295500000	308,051,363	3.18%
8	7.59% Goi 2029 20.03.2029	937000000	980,945,300	10.12%
9	7.73 % Govt Sec 2034 19.12.2034	50000000	53,070,000	0.55%
10	7.88 % Govt Sec 2030 19.03.2030	1119800000	1,199,193,820	12.37%
11	7.95 % Fert Bond2026 18/02/2026	600000	628,648	0.01%
12	8.03 Goi Fci Bond 2024	2000000	2,091,708	0.02%
13	8.28% Goi 2032 15-02-2032	275680000	303,894,194	3.13%
14	8.30% Goi 02/07/2040	200000000	224,647,400	2.32%
15	8.33% Goi 2036	263830000	295,515,719	3.05%
16	8.12 % Goi 2020 10/12/2020	500000	522,950	0.01%
17	8.13% Govt Sec 2045 22.06.2045	460770000	514,127,166	5.30%
18	8.15% Goi 2026 24.11.2026	15000000	16,125,000	0.17%
19	8.17 % Govt Securities 2044 01.12.2044	545430000	608,972,595	6.28%
20	8.20% Goi 2025	232750000	250,513,015	2.58%
21	8.20% Gsc Oil Bond 2024 15.09.2024	145000000	152,966,300	1.58%
22	8.24% Govt Of India 2027 15.02.2027	59740000	64,811,926	0.67%
23	8.24% Gsec 2018 22.04.2018	47960000	49,012,578	0.51%
24	8.24 % Goi 2033 10.11.2033	122500000	135,362,500	1.40%
25	8.26% Goi 2027 02.08.2027	11110000	12,032,130	0.12%
26	8.28% Goi 2027 21.09.2027	99820000	108,803,800	1.12%
27	8.30% Goi 2042 31.12.2042	572680000	645,696,700	6.66%
28	8.32% Goi 2032 02.08.2032	485190000	537,299,406	5.54%
29	8.33 % Gsec 2026 09-07-2026	188370000	205,225,159	2.12%
30	8.35% Goi 2022 14/05/2022	760000	808,878	0.01%
31	8.40% Goi 2024 28.07.2024	11600000	12,510,600	0.13%
32	8.60% Goi 2028 02.06.2028	157270000	175,230,234	1.81%
33	8.83% Goi 2041 12.12.2041	176360000	209,392,228	2.16%
34	8.83% Gsc 2023 25/11/2023	124440000	136,993,134	1.41%
35	8.97% Goi 2030 05.12.2030	107540000	124,208,700	1.28%
36	9.20% Goi 2030 30/09/2030	62860000	73,860,500	0.76%
37	9.23% Gsc 2043 23/12/2043	1182500000	1,465,117,500	15.11%
38	8.09% Tamil Nadu Sdl 09-06-20	1300000	1,339,807	0.01%
39	8.10 % Tamil Nadu Sdl 2023 08/05/2023	3550000	3,698,131	0.04%
40	8.10% Tripura Sdl 09-06-2020	1990000	2,051,334	0.02%



41	8.12% Rajasthan Sdl 2020 21.07.2020	870000	898,419	0.01%
42	8.15% Rajasthan Sdl 2021 Spl 23.06.2021	150000000	154,580,100	1.59%
43	8.15% Tamil Nadu Sdl 2020 21.07.2020	4000000	4,133,732	0.04%
44	8.22% J K Spl Sdl 2026 30.03.2026	70000000	73,475,150	0.76%
45	8.25% Maharashtra Sdl 2025 10.06.2025	10000000	10,584,470	0.11%
46	8.28% Maharashtra Sdl 2025 29.07.2025	9900000	10,504,217	0.11%
47	8.29% Kerala Sdl 2025 29.07.2025	2480000	2,630,209	0.03%
48	8.32 % Uttar Pradesh Sdl 2025 Spl 02.06.2025	50000000	52,619,200	0.54%
49	8.33% Gujrat Sdl 2020 06.01.2020	1000000	1,034,459	0.01%
50	8.34% Kerala Sdl 2020 22.12.2020	1010000	1,053,728	0.01%
51	8.34% Uttar Pradesh Sdl 2020 22.12.2020	10000	10,432	0.00%
52	8.35% Rajasthan Sdl 2020 06.10.2020	420000	437,759	0.00%
53	8.36 % Punjab Sdl 2021 08-04-2021	3100000	3,226,427	0.03%
54	8.39% Meghalaya Sdl 2020 22.09.2020	2250000	2,346,149	0.02%
55	8.39% West Bengal Sdl 2020 13.10.2010	1340000	1,397,993	0.01%
56	8.41% Puducherry 2020 10.11.2020	4680000	4,889,547	0.05%
57	8.42% Maharashtra Sdl 2020 25.08.2020	100000	104,342	0.00%
58	8.43% Meghalaya Sdl 2020 12.11.2020	450000	470,405	0.00%
59	8.49% Bihar Sdl 2019 07.10.2019	100000	103,894	0.00%
60	8.53% Bihar Sdl 2020 28.04.2020	9000000	9,383,418	0.10%
61	8.65% J K Spl Sdl 2028 30.03.2028	47500000	51,696,435	0.53%
62	8.65% Karnataka Sdl 2023 20.03.2023	2300000	2,456,844	0.03%
63	8.72% Punjab Spl Sdl 2031 30.03.2031	20000000	22,115,320	0.23%
64	8.82% Bihar Sdl 2026 24.02.2026	50000000	54,916,600	0.57%
65	8.83% Maharstra Sdl 2024 11/06/2024	5500000	5,980,563	0.06%
66	8.89% Andhra Pradesh Sdl 2022 20.09.2022	7860000	8,461,699	0.09%
67	8.97% Karnataka Sdl 2024 23/07/2024	37090000	40,683,094	0.42%
68	9.01% Karnatak Sdl 2024 25/06/2024	600000	659,083	0.01%
69	9.07% Kerala Sdl 2024 27.08.2024	50000000	55,108,600	0.57%
70	9.15% Kerala Sdl 2022 23.05.2022	19000000	20,617,033	0.21%
71	9.19% Kerela 2024 28/05/2024	10000000	11,066,600	0.11%
72	9.19% Tamil Nadu 2021 09.11.2021	2500000	2,702,210	0.03%
73	9.36% Maharastra Sdl 2023 06/11/2023	1000000	1,112,783	0.01%
74	9.37% Tamil Nadu Sdl 2024 23/04/2024	70000	78,184	0.00%
75	9.38 Andhra Pradesh Sdl 2023 23/10/2023	35000000	38,947,440	0.40%
76	9.39 % Bihar Sdl 2023 09/10/2023	10000000	11,113,080	0.11%
77	9.39% Karnataka 2023 04.12.2023	9500000	10,584,938	0.11%
78	9.47% Tamil Nadu Sdl	500000	560,885	0.01%
79	9.55 % Andhra Pradesh Sdl 2023 11/09/2023	8000000	8,963,696	0.09%
80	9.55% Karnataka Sdl 2024 12/02/2024	10000000	11,252,330	0.12%
81	9.63% Maharastra Sdl 2024 12/02/2024	15100000	17,054,393	0.18%
82	9.67% Jharkhan Sdl 2024 12/02/2024	2060000	2,327,656	0.02%
83	9.69% Jharkhand Sdl 2024 12/03/2024	20000000	22,645,220	0.23%
84	9.70% Uttarakhand 2024 12/03/2024	13780000	15,621,959	0.16%
85	9.71% Andhra Pradesh Sdl 2024 12/03/2024	26000000	29,509,480	0.30%
86	9.71% Haryana Sdl 2024 12/03/2024	410000	465,318	0.00%
87	9.75% Kerala 2024 26/02/2024	3850000	4,371,779	0.05%
88	9.84% Bihar Sdl 2024 26/02/2024	2600000	2,964,133	0.03%
89	9.84% Uttarakhand Sdl 2024 26/02/2024	800000	912,715	0.01%
	<b>Total (Rs.)</b>	<b>8,722,440,000</b>	<b>9,694,428,856</b>	<b>100%</b>