

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – G TIER - II**  
**7BALANCE SHEET AS AT SEPTEMBER 30,2017**

	Schedules	As at Sept 30, 2017	As at Sept 30, 2016
<b>Liabilities</b>			
Unit Capital	2	26,62,91,680	16,02,65,289
Reserves and Surplus	3	31,03,49,162	16,59,61,036
Current Liabilities and Provisions	4	9,00,672	4,54,108
<b>Total</b>		<b>57,75,41,514</b>	<b>32,66,80,433</b>
<b>Assets</b>			
Investments	5	54,19,34,289	31,75,25,423
Appreciation in Investment		1,78,15,550	
Deposits		-	-
Other Current Assets	6	1,77,91,674	91,55,010
<b>Total</b>		<b>57,75,41,514</b>	<b>32,66,80,433</b>
		-	-

**NATIONAL PENSION SYSTEM TRUST**  
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**REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017**

Particulars	Half Year ended Sept 30, 2017	Half Year ended Sept 30, 2016
<b>Income</b>		
Dividend	-	-
Interest	7 1,86,76,368	1,03,47,432
Profit on sale/redemption of investments	8 17,35,798	4,69,955
Profit on inter-scheme transfer/sale of investments	9 -	-
Unrealized gain on appreciation in investments	40,15,930.50	1,83,29,351
Other income		
- Miscellaneous Income	-	-
<b>Total</b>	<b>2,44,28,097</b>	<b>2,91,46,738</b>
<b>Expenses and Losses</b>		
Unrealized losses in value of investments	-	-
Loss on sale/redemption of investments	10 -	-
Loss on inter-scheme transfer/sale of investments	11 2,63,300	-
Management fees (including service Tax)	25747.99	13,774
NPS Trust fees	25747.99	13,772
Custodian fees (including service Tax)	16,287.01	11,679
CRA Fees	-	-
Less: Amount recoverable on sale of units on account of CRA Charges	-	-
Other Expenses, if any	-	-
<b>Total</b>	<b>3,31,083</b>	<b>39,225</b>
<b>Surplus/(Deficit) for the year</b>	2,40,97,014	2,91,07,513
Net Surplus brought forward from previous year	7,88,59,631	4,59,48,161
Less: Adjustment for Previous years unrealised appreciation reserve		-
Add: Net Change in Unrealised Depreciation Reserve during the year		-
<b>Amount carried forward to Balance Sheet</b>	<b>10,29,56,644</b>	<b>7,50,55,674</b>

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**NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2017**

Schedule 2	As at Sept 30, 2017	As at Sept 30, 2016
<b>Unit Capital</b>		
Initial Capital*	5,654	5,654
Outstanding at the beginning of the year	21,68,07,014	13,05,67,082
Add :Units issued during the year	7,53,07,179	3,73,48,449
Less: Units redeemed during the year	2,58,22,513	76,50,242
Outstanding at the end of the year	26,62,91,680	16,02,65,289
<b>(Face Value of Rs.10/- each unit, fully paid up)</b>		
Outstanding units at the beginning of the year	2,16,80,701	1,30,56,708.22
Add :Units issued during the year	75,30,718	37,34,844.89
Less: Units redeemed during the year	25,82,251	7,65,024.21
Outstanding Units at the end of the year	2,66,29,168	1,60,26,528.90
<b>Schedule 3</b>		
<b>Reserves and Surplus</b>		
<b>Unit Premium Reserve</b>		
Opening Balance	15,15,74,878	6,27,90,157
Add: Premium on Units issued	8,49,88,841	3,51,69,197
Less: Premium on Units redeemed	2,91,71,201	70,53,992
Closing Balance	<u>20,73,92,518</u>	<u>9,09,05,362</u>
<b>Appropriation Account</b>		
Surplus/(Deficit) carried forward	10,29,56,644	7,50,55,674
Closing Balance	<u>10,29,56,644</u>	<u>7,50,55,674</u>
<b>Unrealised Appreciation Account</b>		
Opening Balance	-	-
Add : Adjustment for Previous years unrealised appreciation reserve	-	-
Less: Transferred to Revenue Account	-	-
Closing Balance	<u>-</u>	<u>-</u>
<b>Total</b>	<u><u>31,03,49,162</u></u>	<u><u>16,59,61,036</u></u>
<b>Schedule 4</b>		
<b>Current Liabilities and Provisions</b>		
<b>Current Liabilities</b>		
Redemption Payable	8,45,514	4,16,369
Contract for Purchase of Investments	-	-
NPS Charges Payable	13,795	7,449
Management Fee Payable	15,371	8,569
Custodial Charges Payable	25,992	21,721
Amount Payable to Other Schemes	-	-
	<u>9,00,672</u>	<u>4,54,108</u>
<b>Schedule 5</b>		
<b>As at Sept 30, 2017</b>		
<b>As at Sept 30, 2016</b>		

**Investments (Long Term and Short Term)**

Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	54,19,34,289	31,75,25,423
Commercial Paper	-	-
Others - Mutual Fund Units	-	-
- Certificates of Deposit	-	-
<b>Total</b>	<b>54,19,34,289</b>	<b>31,75,25,423</b>

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<b>Schedule 6</b>	<b>As at Sept 30, 2017</b>	<b>As at Sept 30, 2016</b>
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**Other Current Assets**

Balances with bank in a current account	28,900	1,20,778
Contracts for sale of investments	80,00,000	42,00,000
Outstanding and accrued income	97,62,775	48,34,232
	<b>1,77,91,674</b>	<b>91,55,010</b>

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST A/c - SBI PENSION FUND - SCHEME G - Tier II**  
**Notes annexed to and forming part of the Financial Statements**

Schedule 7	As at Sept 30, 2017	As at Sept 30, 2016
<b>INTEREST INCOME</b>		
Interest Income - Government Securities	1,86,76,368	1,03,47,432
	<b>1,86,76,368</b>	<b>1,03,47,432</b>

Schedule 8	As at Sept 30, 2017	As at Sept 30, 2016
<b>REALISED GAINS ON SALE/REDEMPTION OF INVESTMENTS</b>		
Profit on Sale of Government Securities	14,53,694	3,75,405
Profit on Sale of Mutual Fund Scheme Units	2,82,104	94,550
	<b>17,35,798</b>	<b>4,69,955</b>

Schedule 9	As at Sept 30, 2017	As at Sept 30, 2016
<b>REALISED GAINS ON SALE OF INVESTMENTS - INTER SCHEME</b>		
Profit on Sale of Government Securities	-	-
	-	-

Schedule 10	As at Sept 30, 2017	As at Sept 30, 2016
<b>REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS</b>		
Loss on Sale of Mutual Fund	-	-
	-	-

Schedule 11	As at Sept 30, 2017	As at Sept 30, 2016
<b>REALISED LOSSES ON SALE/REDEMPTION OF INVESTMENTS - Inter Scheme</b>		
Loss on Sale of Government Securities	2,63,300	-
	<b>2,63,300</b>	-

**NATIONAL PENSION SYSTEM TRUST**  
**NPS TRUST - A/C SBI PENSION FUND SCHEME – G TIER - II**  
**Notes annexed to and forming part of the Financial Statements (Refer Schedule 5)**

<b>Govt. Securities</b>				
Sr. No.	Security Description	As on 31.09.2016		
		Face Value (Rs.)	Mkt. Value (Rs.)	% to Asset Class
1	10.18% Goi 2026 11.09.2026	30000	36,086	0.02%
2	6.90% Oil Bond 2026	330000	3,23,938	0.13%
3	7.95% Goi 2032	2000000	21,53,754	0.58%
4	7.28% Gsec 2019 03.06.2019	1350000	13,68,630	0.24%
5	7.59% Goi 2026 11.01.2026	19000000	1,98,07,025	0.32%
6	7.59% Goi 2029 20.03.2029	30000000	3,14,07,000	2.52%
7	7.73 % Govt Sec 2034 19.12.2034	1000000	10,61,400	3.06%
8	7.80% Goi 2020 03.05.2020	1800000	18,53,777	2.68%
9	7.88 % Govt Sec 2030 19.03.2030	39900000	4,27,28,910	0.75%
10	7.95% Oil Bond 2025 18.01.2025	740000	7,68,932	1.39%
11	8.28% Goi 2032 15-02-2032	5680000	62,61,314	2.70%
12	8.30% Goi 02/07/2040	6840000	76,82,941	0.02%
13	8.33% Goi 2036	5990000	67,09,393	4.28%
14	8.13% Goi 2022 21.09.2022	1700000	17,96,208	1.83%
15	8.13% Govt Sec 2045 22.06.2045	17280000	1,92,81,024	2.68%
16	8.15% Goi 2026 24.11.2026	2000000	21,50,000	3.23%
17	8.17 % Govt Securities 2044 01.12.2044	32000000	3,57,28,000	3.53%
18	8.20% Goi 2025	3150000	33,90,402	0.82%
19	8.24% Govt Of India 2027 15.02.2027	6070000	65,85,343	4.33%
20	8.24% Gsec 2018 22.04.2018	40000	40,878	0.00%
21	8.24 % Goi 2033 10.11.2033	4450000	49,17,250	0.03%
22	8.26% Goi 2027 02.08.2027	9600000	1,03,96,800	0.00%
23	8.30% Goi 2042 31.12.2042	4100000	46,22,750	0.08%
24	8.32% Goi 2032 02.08.2032	6010000	66,55,474	0.26%
25	8.33 % Gsec 2026 09-07-2026	7220000	78,66,038	0.76%
26	8.35% Goi 2022 14/05/2022	30000	31,929	0.12%
27	8.40% Goi 2024 28.07.2024	1000000	10,78,500	0.17%
28	8.60% Goi 2028 02.06.2028	3850000	42,89,670	0.02%
29	8.83% Goi 2041 12.12.2041	7500000	89,04,750	0.07%
30	8.83% Gsc 2023 25/11/2023	1800000	19,81,579	0.45%
31	8.97% Goi 2030 05.12.2030	9290000	1,07,29,950	0.09%

32	9.23% Gsc 2043 23/12/2043	33060000	4,09,61,340	0.05%
33	7.95 % Maharastra Sdl Sdl 2023 03/07/2023	220000	2,27,741	0.11%
34	8.10 % Tamil Nadu Sdl 2023 08/05/2023	70000	72,921	0.02%
35	8.14% Maharashtra Sdl 2025 27.05.2025	400000	4,20,534	0.18%
36	8.25% Maharashtra Sdl 2025 10.06.2025	600000	6,35,068	0.00%
37	8.29% Kerala Sdl 2025 29.07.2025	310000	3,28,776	0.00%
38	8.29% Rajasthan Sdl 2024 Spl 23.06.2024	7500000	78,52,485	0.00%
39	8.34% Uttar Pradesh Sdl 2020 22.12.2020	10000	10,432	0.58%
40	8.35% Rajasthan Sdl 2020 06.10.2020	180000	1,87,611	0.48%
41	8.36 % Punjab Sdl 2021 08-04-2021	600000	6,24,470	0.78%
42	8.39% Meghalaya Sdl 2020 22.09.2020	1750000	18,24,783	16.37%
43	8.39% West Bengal Sdl 2020 13.10.2010	270000	2,81,685	1.97%
44	8.41% Puducherry 2020 10.11.2020	380000	3,97,015	0.01%
45	8.43% Meghalaya Sdl 2020 12.11.2020	40000	41,814	1.76%
46	8.65% J K Spl Sdl 2028 30.03.2028	2500000	27,20,865	16.18%
47	8.82% Bihar Sdl 2026 24.02.2026	1200000	13,17,998	0.00%
48	8.83% Maharastra Sdl 2024 11/06/2024	600000	6,52,425	0.00%
49	8.92% Tamil Nadu Sdl 2022 05.09.2022	150000	1,61,584	0.00%
50	9.01% Karnatak Sdl 2024 25/06/2024	400000	4,39,388	0.85%
51	9.15% Kerala Sdl 2022 23.05.2022	1000000	10,85,107	0.43%
52	9.19% Tamil Nadu 2021 09.11.2021	200000	2,16,177	12.93%
53	9.37% Kerala Sdl 2024 23/04/2024	10000	11,160	7.63%
54	9.38 Andhra Pradesh Sdl 2023 23/10/2023	100000	1,11,278	0.45%
55	9.39 % Bihar Sdl 2023 09/10/2023	240000	2,66,714	0.09%
56	9.39% Karnataka 2023 04.12.2023	50000	55,710	0.17%
57	9.55 % Andhra Pradesh Sdl 2023 11/09/2023	380000	4,25,776	0.26%
58	9.63% Maharastra Sdl 2024 12/02/2024	500000	5,64,715	0.14%
59	9.67% Jharkhan Sdl 2024 12/02/2024	200000	2,25,986	0.54%
60	9.70% Rajastan Sdl 2023 28/08/2023	200000	2,25,618	0.27%
61	9.70% Uttarakhand 2024 12/03/2024	1240000	14,05,750	0.18%
62	9.84% Bihar Sdl 2024 26/02/2024	1020000	11,62,853	0.23%
	<b>Total (Rs.)</b>	<b>28,71,30,000</b>	<b>31,75,25,423</b>	<b>100.00%</b>